PTO/SB/21 (04-04)

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& TRAUENT			Application Number	10/809,9	980	
TRANSMITTAL FORM			Filing Date	March 2	<u></u>	
			First Named Inventor	Marian N		
(to be used for all c	correspondence after initi	ial filing)	Art Unit	2881		
			Examiner Name	not yet k	cnown	
Total Number of Page	es in This Submissior	n 5	Attorney Docket Number	- 	02500 (P1305)	
		ENCL	OSURES (check all that apply)			<u>_</u>
Fee Transmittal F	Form	Drawin		_	Allowance Communication to nology Center (TC)	
Fee Attached	đ	Licens	sing-related Papers	Appea	al Communication to Board of eals and Interferences	
Amendment / Re	:ply	Petition	n	☐ Appea	al Communication to TC eal Notice, Brief, Reply Brief)	
After Final	1		n to Convert to a ional Application	Propr	ietary Information	
Affidavits/dec	claration(s)	Power of Attorney, Revocation Change of Correspondence Address		☐ Statu	s Letter	
Extension of Time Request		Termin	Terminal Disclaimer		r Enclosure(s) e identify below):	
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Response to Miss Incomplete Applic						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
	SIGNA	TURE OF /	APPLICANT, ATTORNEY, O	R AGENT		
Firm James K. Okamoto, Reg No. 40,110 or Individual name OKAMOTO & BENEDICTO LLP						
Signature Jank. Ola						
Date September 14, 2004						
	С	ERTIFICAT	TE OF TRANSMISSION/MAIL	LING		5
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Signature				Date	September 14, 2004	_

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to compelete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Marian Mankos, et al.

Serial No. 10/809,980

Filed:

March 26, 2004

Title: Dual-Energy Electron Flooding for

Neutralization of Charged Substrate

Examiner: not yet known

Art Unit: 2881

Atty. Dkt. No.: 10011.002500 (P1305)

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior
application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the
references cited therein are hereby listed but are not required to be provided in
this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

\boxtimes	37 CFR §1.97(b).
	37 CFR §1.97(c). If so, then either: Applicant certifies pursuant to 37 CFR § 1.97(e) that either: each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement.
	OR Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).
	37 CFR § 1.97(d). If so, then both: Applicant certifies pursuant to 37 CFR § 1.97(e) that either: each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more that three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
	AND Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted,

Marian Mankos, et al.

Dated: September 14, 2004

By:

ames K. Okamoto

Attorney For Applicant(s)

Reg. No. 40,110

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CERTIFICATE OF MAILING

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Signature:

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PTO/SB/08a (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

	Complete if Known				
Application Number	10/809,980				
Filing Date	March 26, 2004				
First Named Inventor	Marian Mankos				
Art Unit	2881				
Examiner Name	not yet known				
Attorney Docket Number	10011.002500 (P1305)				

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No.¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Α	US- 4,818,872	Apr. 4, 1989	Parker, et al.	rigures repeat
	В	US- 5,444,242	Aug. 22, 1995	Larson, et al.	
	С	US- 5,576,538	Nov. 19, 1996	Sakai, et al.	
	D	US- 5,668,368	Sept. 16, 1997	Sakai, et al.	
	Ε	US- 5,959,305	Sept. 28, 1999	Mack, et al.	
	F	US- 5,977,549	Nov. 2, 1999	Wang, et al.	
	G	US- 6,462,332	Oct. 8, 2002	Trompenaars, et al.	
	Н	US- 6,600,163	July 29, 2003	Halling	
	ı	US- 6,610,980	Aug. 26, 2003	Veneklasen, et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Cite No.1	Cito	Foreign Patent Document	2.55	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY			
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Examiner	Date	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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